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PAU 2875

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Brian N. Tufte

Serial No.: 10/074,162

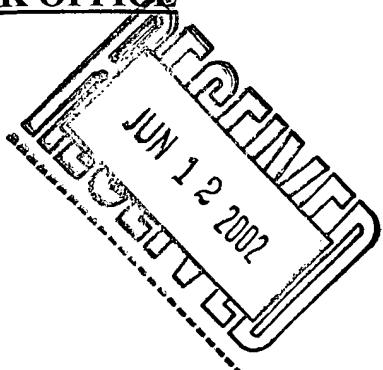
Examiner: unknown

Filing Date: February 12, 2002

Group Art Unit: 2875

For: LIGHTING APPARATUS

Docket No.: 1076.1101103



**TRANSMITTAL SHEET**

The Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C., 20231 on this

17<sup>th</sup> day of May, 2002.

By \_\_\_\_\_  
Brian N. Tufte

Sir:

We are transmitting herewith the attached:

Information Disclosure Statement, Form PTO-1449 and 46 cited references.

Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_  
\_\_\_\_\_  
Brian N. Tufte, Reg. No. 38,638  
CROMPTON, SEAGER & TUFTE, LLP  
331 Second Avenue South  
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PATENT

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**INFORMATION DISCLOSURE STATEMENT (37 C.F.R. §1.97(b))**

Assistant Commissioner for Patents  
Washington, D.C. 20231

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17<sup>th</sup> day of May, 2002.

By \_\_\_\_\_  
Brian N. Tufte

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

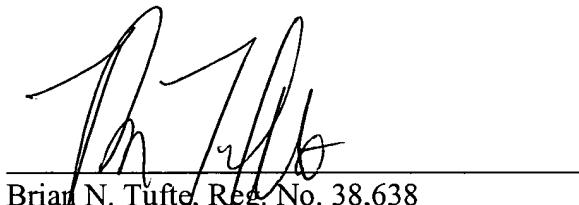
This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F. R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,



Brian N. Tufte, Reg. No. 38,638  
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Dated: May 17, 2002